Docket No.: S63.2B-10014-US01



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael Austin and Barry O'Brien

**Application No.:** 

09/683249

Filed:

December 5, 2001

For:

Combined Balloon-Expanding and Self-Expanding

Stent

**Examiner:** 

Michael Thaler

**Group Art Unit:** 

3731

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## ia, VA 22313-1450

SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed foreign patent and each listed publication other than U.S. patents and U.S. patent application publications is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. patents and U.S. published applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of unpublished U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

	III. 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the						
	earlier of a final office action under §1.113, a notice of allowance under §1.311, or an						
	action that otherwise closes prosecution in the application, but before payment of the						
	issue fee, then:						
	(1) a certification as specified in §1.97(e) is completed below; and						
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or						
	included with payment of other papers filed together with this statement.						
X	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure						
	Statement and full payment has not been submitted herewith, regardless of which boxes						
	have been checked above, the Commissioner is hereby authorized to charge any						
	additional fees associated with this communication to Deposit Account No. 22-0350.						
	The Commissioner is hereby authorized to credit any overpayment associated with this						
	communication to Deposit Account No. 22-0350.						
If pare	agraph II.1 or III is checked, also check one of the paragraphs below						
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in						
	this Information Disclosure Statement was first cited in a communication from a foreign						
	patent office in a counterpart foreign application not more than three months prior to the						
	date of the filing of this information disclosure statement.						
	This communication was not received by any individual designated in §						
	1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.						
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the						
	information disclosure statement was cited in a communication from a foreign patent						
	office in a counterpart foreign application, and to the knowledge of the person signing the						
	statement after making reasonable inquiry, no item of information contained in the						
	information disclosure statement was known to any individual designated in 1.56(c) more						
	than three months prior to the filing of the Information Disclosure Statement.						

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

Sixth Supplemental Information Disclosure Statement Attorney Docket No. S63.2B-10014-US01

Application No. 09/683249
Page 4

If this Sixth Supplemental Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Sixth Supplemental Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Sixth Supplemental Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: January 21, 2005

Brendan C. Babcock Registration No.: 50705

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Telephone: (952) 563-3000 Facsimile: (952) 563-3001

f:\wpwork\bcb\10014us01\_ids\_20050119.doc

## APPLICATION NO.: 09/683249 ATTY DOCKET NO.: S63.2B-10014-US01 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S APPLICANT: Michael Austin and Barry O'Brien INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE: December 5, 2001 **GROUP: 3731** U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS REFERENCE DESIGNATION CLASS/ FILING DATE DOCUMENT NUMBER DATE NAME EXAM'S **SUBCLASS** IF APPROPRIATE INIT. Walak et al. 623/1.15 6,485,507 11/26/02 $\mathbf{A}\mathbf{A}$ ΑB ACAD AE $\mathbf{AF}$ $\mathbf{AG}$ AH ΑI ΑJ AK $\mathbf{AL}$ FOREIGN PATENT DOCUMENTS DATE **COUNTRY DOCUMENT NUMBER** BA BBBCBD BE OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.) $\mathbf{C}\mathbf{A}$ CB

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

 $\mathbf{CC}$ 

**EXAMINER** 

JAN 2 5 2005

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)

> ED EE EF

ATTY DOCKET NO.: \$63.2B-10014-US01

APPLICATION NO. 09/683249

APPLICANT: Michael Austin and Barry O'Brien

FILING DATE: December 5, 2001

**GROUP:** 3731

## UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA	09/702,226	10/31/00	Walak	
	DB				
	DC				
	DD				
	DE				
EXAMINER				DATE CONSIDERED	

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

US APPLICATIONS			TTY DOCKET NO.:	S63.2B-10014-US0	APPLICATION NO. 09/683249					
RELATED BY PRIORITY		RIORITY	APPLICANT: Michael Austin and Barry O'Brien							
(COPIES NOT ENCLOSED)			ILING DATE: Decem	nber 5, 2001	GROUP: 3731	GROUP: 3731				
		U	S APPLICATIONS	RELATED BY P	RIORITY					
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC				
	EA									
	EB									
	EC									

EXAMINER DATE CONSIDERED

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.